

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Woodruff et al.)	Examiner:	Perry, L.
)		
Serial No.:	10/689,135)	Art Unit:	4182
)		
Filing Date:	Oct. 20, 2003)	Attorney Docket No.	030677

Title: SYSTEM AND METHOD FOR INCREASING AN AMOUNT OF A SECURITY
AVAILABLE FOR BORROW

PRELIMINARY AMENDMENT

Kirkpatrick & Lockhart Preston Gates Ellis
Pittsburgh, PA 15222
October 26, 2007

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the above-identified application as follows, wherein:

Amendments to the Claims begin on page 2; and

Remarks begin on page 8.